

07/18/03  
17660-0-9-150

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	HAU235 CIP3-CON
	First Inventor	William R. Gamble
	Title	High Molecular Weight Primary Aliphatic Alcohols Obtained From Natural Products and Uses Thereof
	Express Mail Label No.	EV322525992US

10/622249  
07/18/03

APPLICATION ELEMENTS	Commissioner for Patents Mail Stop Patent Application P. O. Box 1450 Alexandria, VA 22313-1450
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- |  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>(submit an original and a duplicate for fee processing)<br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27<br>3. <input checked="" type="checkbox"/> Specification [36 total pages and title page]<br>(preferred Arrangement set forth below)<br>- Descriptive title of the Invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R&D<br>- Reference to sequence listing, a table, or a<br>computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br>4. <input checked="" type="checkbox"/> Drawing(s) [6 total sheets Figures 1-6]<br>5. <input checked="" type="checkbox"/> Oath or Declaration [8 total pages]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d))<br>(for continuation/divisional with Box 18 completed)<br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting<br>inventor(s) named in prior application,<br>see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)<br>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table<br>or Computer Program (Appendix)<br>8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form<br>b. <input type="checkbox"/> Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |
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#### ACCOMPANYING APPLICATION PARTS

- |  |  |
|--|--|
| 9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s))                                      |  |
| 10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement<br>(when there is an assignee)                                  | <input type="checkbox"/> Power of Attorney       |
| 11. <input type="checkbox"/> English Translation Document  |  |
| 12. <input type="checkbox"/> IDS & Form PTO/SB/08A   | <input type="checkbox"/> Copies of IDS Citations |
| 13. <input type="checkbox"/> Preliminary Amendment   |  |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)   |  |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)  |  |
| 16. <input type="checkbox"/> Nonpublication Request Under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 |  |
| 17. <input checked="" type="checkbox"/> Other: Certificate of Mailing  |  |

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/133,986

Prior application information: Examiner: Peter G. O'Sullivan Group/Art Unit: 1621

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 25235 or ☐ Correspondence address below



Name			
Address			
City	State	ZIP	
Country	Telephone	Fax	

Name (Print/Type)	Sarah O'Rourke	Registration No.	41,226
(Signature)	<i>Sarah O'Rourke</i>	Date	July 18, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William R. Gamble, et al.

Serial No. NEW

Filed: Herewith

For: HIGH MOLECULAR WEIGHT  
PRIMARY ALIPHATIC ALCOHOLS  
OBTAINED FROM NATURAL  
PRODUCTS AND USES THEREOF

Group Art No.: Not yet accorded

Examiner: Not yet accorded

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

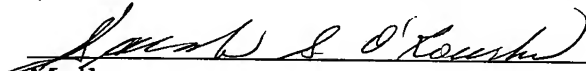
Sir:

The undersigned hereby certifies that the following documents:

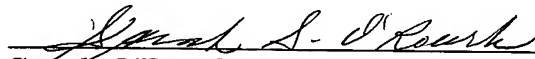
1. Utility Patent Application Transmittal;
2. Fee Transmittal;
3. Check in the amount of \$457;
4. Utility Patent Application (36 pages plus cover sheet)
5. Abstract (1 page);
6. 3 pages of Claims;
7. Copy of Executed Declaration from prior application;
8. 6 sheets of drawings (Figures 1-6);
9. Recordation Form Cover Sheet and copy of Assignment from prior application;
10. Return postcard; and
11. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV322525992US, with the U.S. Postal Service, addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313.

July 18, 2003  
Date

  
Mailer

July 18, 2003  
Date

  
Sarah O'Rourke, Reg. No. 41,226  
HOGAN & HARTSON<sub>LLP</sub>  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(720) 406-5385 Tel  
(720) 406-5301 Fax

# FEE TRANSMITTAL for FY 2003

**Compleat if Known**

Application Number	Not yet accorded
Filing Date	Herewith
First Named Inventor	William R. Gamble
Examiner Name	Not yet accorded
Group / Art Unit	Not yet accorded
Attorney Docket No.	HAU235 CIP3 CON

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT**      **(\$457)**

**METHOD OF PAYMENT** (check all that apply)

☒ check   ☐ credit card   ☐ money order   ☐ other   ☐ none  
☐ Deposit Account

Deposit  
Account  
Number

**50-1123**

Deposit  
Account  
Name

**Hogan & Hartson L.L.P.**

The Commissioner is hereby authorized to: (check all that apply)  
☐ Charge fee(s) indicated below   ☒ Credit any overpayments  
☒ Charge any additional fee(s) for this filing  
☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	375	Utility Filing Fee	<b>375</b>
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

**SUBTOTAL (1)**      **(\$375)**

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20**= 0	0	0
Independent Claims 4	-3**= 1	42	42
Multiple Dependent		0	0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	**Reissue independent claims over original patent
18	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**      **(\$42)**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SRI after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Info Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination	
900	900	Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**      **(\$40)**

**SUBMITTED BY**      Complete (if applicable)

Name (Print/Type)      **Sarah O'Rourke**

Registration No.  
(Attorney/Agent)

**41.226**

Telephone

**(720) 406-5385**

Signature

*Sarah O'Rourke*

Date

*July 18, 2003*